

DECLARATION FOR PATENT APPLICATION

Case Docket No. 98U004

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A Catalyst Composition And Methods For Its Preparation And Use In A Polymerization Process

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as Application Serial No. or PCT International Application No. _____
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate(s), or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate(s), or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. § 119(e)(1)-(2) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)
_____	_____
(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

NAMES	REGISTRATION NUMBERS
Jaime Sher	34,726
Richard F. Phillips	30,097

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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			77346

Inventor's signature

Agapios K. Agapiou

Date 7-10-98

FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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Inventor's signature

Chi-I Kuo

Date 7-10-98

FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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			ZIP CODE
			77521

Inventor's signature

David M. Glowczwski

Date 7/10/98

FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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	303 Chandler	Baytown	Texas
			ZIP CODE
			77521

Inventor's signature

Steven K. Ackerman

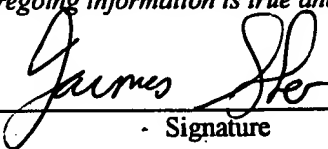
Date 7/10/98

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Agapios K. Agapiou Chi-I Kuo David M. Glowczwski Steven K. Ackerman Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Name: <u>Univation Technologies, L.L.C.</u> Street Address: <u>5555 San Felipe</u> City: <u>Houston</u> State: <u>Texas</u> ZIP: <u>77056</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: <u>July 10, 1998</u>	4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <u>July 10, 1998</u> A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name and address of party to whom correspondence concerning documents should be mailed: Name: <u>Jaime Sher</u> Internal Address: <u>Univation Technologies L.L.C.</u> <u>Suite 1950</u> Mailing Address: <u>5555 San Felipe</u> City: <u>Houston</u> State: <u>Texas</u> ZIP: <u>77056</u>	6. Total number of applications and patents involved: [1] 7. Total fee (37 CFR 1.41): <u>\$40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>50-0589</u>

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9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Jaime Sher</u> <u></u> <u>July 10, 1998</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and document: [2]

Mail documents to be recorded with required cover sheet information to:

**COMMISSIONER OF PATENTS AND TRADEMARKS
BOX ASSIGNMENT
WASHINGTON DC 20231**

ASSIGNMENT

INVENTOR or INVENTORS:

Agapios K. Agapiou

David M. Glowczwski

Chi-I Kuo

Steven K. Ackerman

In consideration of One Hundred Twenty-five Dollars (\$125.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, to Exxon Chemical Patents Inc., a corporation of Delaware its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

A Catalyst Composition And Methods For Its Preparation And Use In A Polymerization Process,

said application having been executed on the 10th day(s) of July 1998, and being further identified as Case No. 98U004; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE

July 10, 1998

Agapios K. Agapiou

(LS)

Agapios K. Agapiou

Witness

DATE

July 10, 1998

Chi-I Kuo

(LS)

Chi-I Kuo

Witness

DATE

July 10, 1998

David M. Glowczwski

(LS)

David M. Glowczwski

07-21-1998



FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

100768923 SHEET
PATENTS ONLY

7/10/98

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Agapios K. Agapiou Chi-I Kuo David M. Glowczwski Steven K. Ackerman</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies): Name: <u>Univation Technologies, L.L.C.</u> Street Address: <u>5555 San Felipe</u> City: <u>Houston</u> State: <u>Texas</u> ZIP: <u>77056</u></p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: <u>July 10, 1998</u></p>	

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 10, 1998

A. Patent Application No.(s)	B. Patent No.(s)
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Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning documents should be mailed:</p> <p>Name: <u>Jaime Sher</u> Internal Address: <u>Univation Technologies L.L.C.</u> <u>Suite 1950</u> Mailing Address: <u>5555 San Felipe</u> City: <u>Houston</u> State: <u>Texas</u> ZIP: <u>77056</u></p>	<p>6. Total number of applications and patents involved: [1]</p> <p>7. Total fee (37 CFR 1.41): <u>\$40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>50-0589</u></p>
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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<u>Jaime Sher</u> Name of Person Signing	 Signature	<u>July 10, 1998</u> Date
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Total number of pages including cover sheet, attachments, and document: [2]

Mail documents to be recorded with required cover sheet information to:

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WASHINGTON DC 20231



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 25, 1998

PTAS

UNIVATION TECHNOLOGIES L.L.C.
JAIME SHER
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HOUSTON, TEXAS 77056



100768923A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/10/1998

REEL/FRAME: 9312/0149
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AGAPIOU, AGAPIOS K.

DOC DATE: 07/10/1998

ASSIGNOR:

KUO, CHI-I

DOC DATE: 07/10/1998

ASSIGNOR:

GLOWCZWSKI, DAVID M.

DOC DATE: 07/10/1998

ASSIGNOR:

ACKERMAN, STEVEN K.

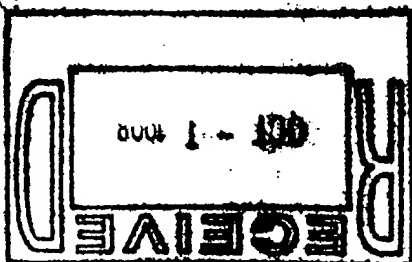
DOC DATE: 07/10/1998

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SERIAL NUMBER: 09113216
PATENT NUMBER:

FILING DATE: 07/10/1998
ISSUE DATE:



9312/0149 PAGE 2

SHIRLIE SIMON, EXAMINER
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